

Quantum vs Classical Proofs and In-place Oracles

Bill Fefferman (QuICS, UMD/NIST)

Joint with Shelby Kimmel (QuICS, UMD/NIST)

Outline

- Basics
- “Quantum oracles”
- **QMA/QCMA** oracle separation

1. Basics

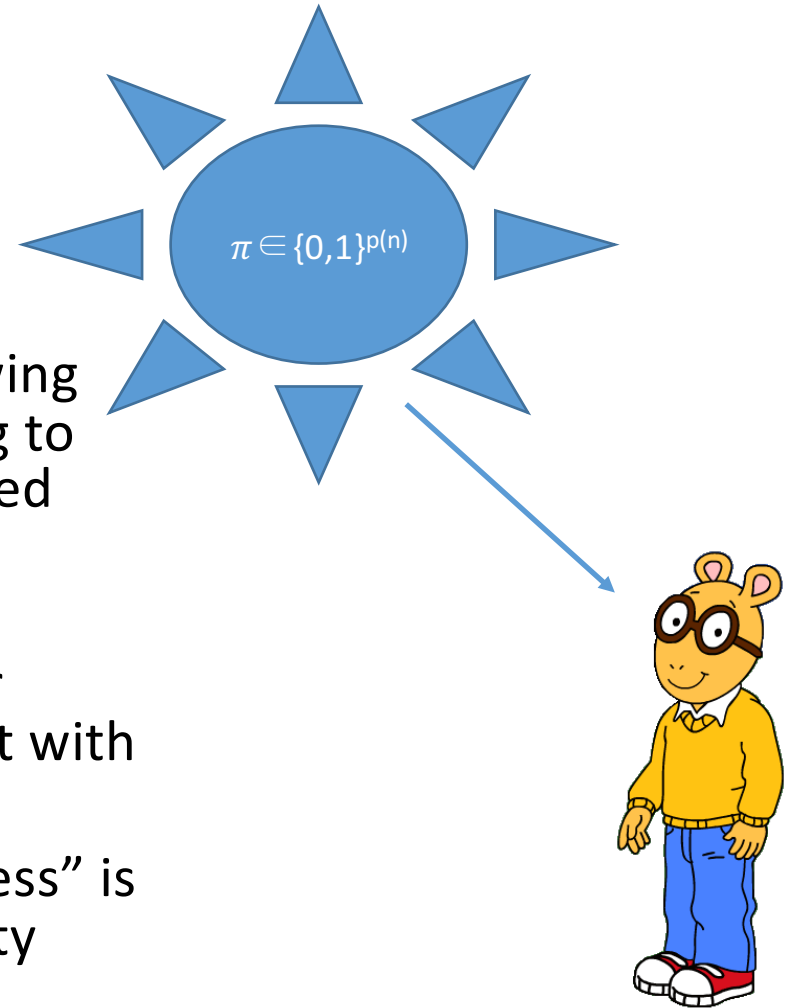
Classical Complexity Theory

- **P**
 - Class of problems efficiently solved on classical computer
- **NP**
 - Class of problems with efficiently verifiable solutions
 - Characterized by **SAT**
 - Input: $\Psi: \{0,1\}^n \rightarrow \{0,1\}$
 - n-variable 3-CNF formula
 - E.g., $(x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee -x_2 \vee x_6) \wedge \dots$
 - Problem: $\exists x_1, x_2, \dots, x_n$ so that $\Psi(x)=1$?
 - Could use a box solving **SAT** to solve any problem in **NP**



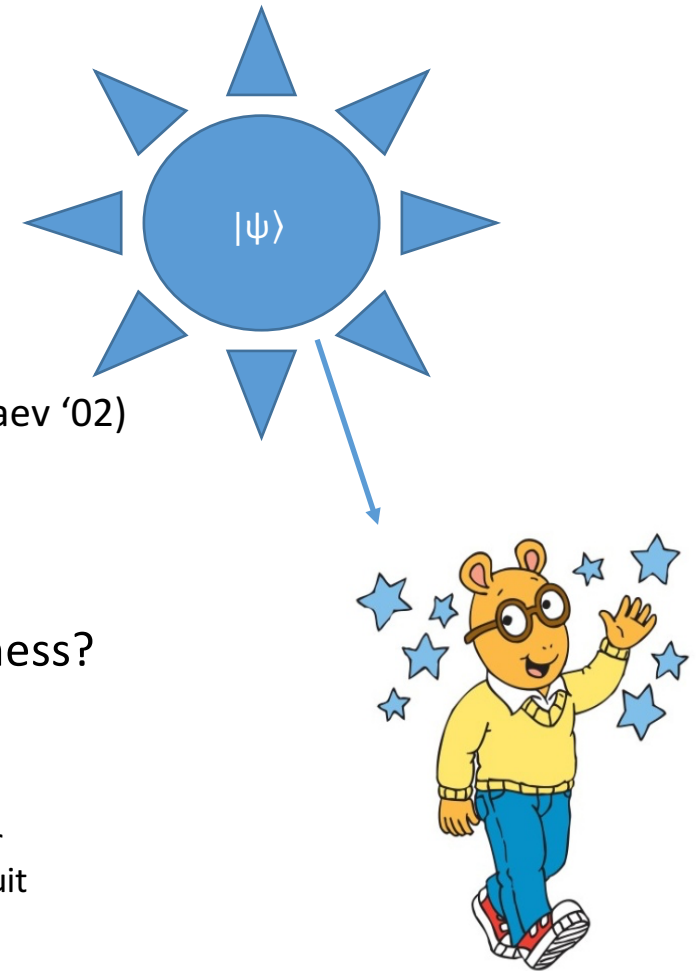
Merlin-Arthur

- “Randomized generalization” of **NP**
- Can think of a game between all-knowing but potentially dishonest Merlin trying to prove statement to efficient randomized classical computer (Arthur)
- If statement is *true*, there exists a polynomial length classical bitstring or “witness” to convince Arthur to accept with high probability
- If statement is *false*, then every “witness” is rejected by Arthur with high probability



Quantum Merlin-Arthur

- **QMA**: Same setup, now Arthur is **BQP** machine, witness is polynomial qubit quantum state
- *k*-Local Hamiltonian problem is **QMA**-complete (when $k \geq 2$) (Kitaev '02)
 - Input: $H = \sum_{i=1}^M H_i$, each term H_i is k -local
 - Promise, for (a, b) so that $b - a \geq 1/\text{poly}(n)$, either:
 - $\exists |\psi\rangle$ so that $\langle \psi | H | \psi \rangle \leq a$
 - $\forall |\psi\rangle : \langle \psi | H | \psi \rangle \geq b$
- Our question: Is there an advantage to quantum witness?
 - **QCMA**: The witness is classical basis state
 - **QCMA** \subseteq **QMA** (trivial)
 - Is **QMA** \subseteq **QCMA**? (Aharonov & Naveh '04)
 - AN'04 conjecture the answer is *yes* (because it's feasible that for every k -local Hamiltonian there exists some efficient quantum circuit that prepares the ground state)
 - But we still have few formal results about this question...



2. “Quantum oracles”

Variants of quantum “oracle”

- “Standard”
 - Given $f:\{0,1\}^n\rightarrow\{0,1\}^m$
 - $U_f:|x\rangle|y\rangle\rightarrow|x\rangle|y\oplus f(x)\rangle$
 - Notice $U_f=U_f^{-1}\neq U_{f^{-1}}$
- “In-place” (Kashefi et. al. '01, de Beaudrap et. al.'01, Aaronson '02...)
 - Given permutation $\sigma:[N]\rightarrow[N]$
 - $P_\sigma:|i\rangle\rightarrow|\sigma(i)\rangle$
 - Notice $P_\sigma\neq P_{\sigma^{-1}}=P_\sigma^{-1}$
- “Quantum Oracle” (e.g., Aaronson & Kuperberg '07)
 - Quantum algorithm can apply black-box unitary $\{U_n\}$
- Finding oracle separations between complexity classes is a often far easier problem than the unrelativized separation, but what do they actually tell us?
 - Tell us about proof techniques that don't suffice
 - *My motivation:* If we don't know how to find a relativized separation we are incredibly ignorant about the underlying complexity classes.

“Standard” vs “in-place” oracles

- Are there tasks that we can accomplish with dramatically fewer queries in either model?
- In-place > standard
 - Consider $\sigma: [N^2] \rightarrow [N^2]$, want to prepare $\frac{1}{\sqrt{N}} \sum_{i \in [N]} |\sigma(i)\rangle$
 - Requires 1 query to “in-place” σ
 - Prepare $\frac{1}{\sqrt{N}} \sum_{i \in [N]} |i\rangle$
 - Query “in-place” σ
 - Requires $\Omega(\sqrt{N^2}) = \Omega(N)$ queries with “standard” σ (Ambainis et. al., ‘10)
 - Related to “index erasure” problem
 - i.e., can prepare $\frac{1}{\sqrt{N}} \sum_{i \in [N]} |i\rangle |\sigma(i)\rangle$ with one standard query
 - To “erase index” requires $\Omega(N)$ queries
- Standard > In-place
 - Suppose $S \subseteq [N^2]$, given $\frac{1}{\sqrt{|S|}} \sum_{i \in S} |i\rangle |\sigma(i)\rangle$, want to prepare $\frac{1}{\sqrt{|S|}} \sum_{i \in S} |i\rangle |0\rangle$
 - Can do this with 1 query to standard oracle for σ
 - Seems harder for an In-place σ ...
 - How about inverting permutation?
 - i.e., is $\sigma^{-1}(1)$ odd or even?
 - Requires $\sqrt{N^2} = N$ standard queries (Ambainis ‘00)
 - We show it requires N in-place queries, conjecture it requires N^2 (no Grover for in-place oracles!)

3. QMA/QCMA oracle separations

Past work: Aaronson & Kuperberg '07

- Result $\exists \{U_n\} \text{QMA}\{U_n\} \not\subseteq \text{QCMA}\{U_n\}$
- Choose an n -qubit state $|\psi\rangle$ uniformly at random
- Define $n+1$ qubit unitary
 - $U_\psi: \begin{cases} |\psi\rangle|b\rangle \rightarrow |\psi\rangle|b\oplus 1\rangle \\ |\varphi\rangle|b\rangle \rightarrow |\varphi\rangle|b\rangle \text{ if } \langle\psi|\varphi\rangle = 0 \end{cases}$
- *Problem*: “Identity checking”: Given quantum oracle access to unitary U , promised either $U=U_\psi$ or $U=\text{Id}$. Which is the case?
- Identity checking is in $\text{QMA}\{U_n\}$
 - Quantum witness is the state $|\psi\rangle$
- Not in $\text{QCMA}\{U_n\}$
 - Proof by “Geometrical” lemma
 - *Intuition*: Polynomial classical bits are not enough to describe $|\psi\rangle$

What (else) are quantum proofs good for?

- First attempt to separate **QMA** from **QCMA** relative to standard oracle (*that doesn't work*)
 - Consider the following problem (and let $N=2^n$):
 - Given standard oracle access to $f:\{0,1\}^n \rightarrow \{0,1\}$ and promised either:
 - “Yes case”: f has exactly \sqrt{N} inputs that map to 1
 - “No case”: f has at most $0.9\sqrt{N}$ inputs that map to 1
 - Which is the case?
 - First off: problem shouldn't be in **QCMA**
 - Intuition is clear: subset of inputs that map to one is unstructured and exponential in size
 - This can be formalized using e.g., quantum polynomial method
 - But is it in **QMA**?
 - Attempt: Ask Merlin to give you state uniformly supported on a subset $S \subseteq \{0,1\}^n$ of size exactly \sqrt{N}
 - i.e., honest Merlin sends $\frac{1}{\sqrt{N}} \sum_{x \in S} |x\rangle$
 - Now Arthur queries f in an output register:
 - $\frac{1}{\sqrt{N}} \sum_{x \in S} |x\rangle |0\rangle \rightarrow \frac{1}{\sqrt{N}} \sum_{x \in S} |x\rangle |f(x)\rangle$
 - Measures output register and accepts iff he obtains 1
 - Notice if we could only be *certain* that Merlin sent us state uniformly supported on *exactly* \sqrt{N} inputs, we'd be done
 - Note that in that “No case” the probability we accept is at most 0.9
 - But verifying that Merlin really sent this state seems extremely hard...

Our result: In-place oracle separation

- $\exists \{P_\sigma\} \text{ QMA}^{\{P_\sigma\}} \not\subseteq \text{QCMA}^{\{P_\sigma\}}$
- Intuition:
 - $\sigma: [N^2] \rightarrow [N^2]$, $N=2^n$
 - Inverting σ has exponential query complexity in standard oracle model
 - Suppose we could find a decision problem in which to decide “yes” from “no” requires preparing $\frac{1}{\sqrt{N}} \sum_{i \in [N]} |\sigma^{-1}(i)\rangle$
 - This problem would be in $\text{QMA}^{\{P_\sigma\}}$
 - Merlin sends $\frac{1}{\sqrt{N}} \sum_{i \in [N]} |\sigma^{-1}(i)\rangle$
 - Protocol is sound! Merlin can't cheat
 - Arthur applies P_σ and checks that the resulting state is $\frac{1}{\sqrt{N}} \sum_{i \in [N]} |i\rangle$
 - This problem should not be in $\text{QCMA}^{\{P_\sigma\}}$
 - Preparing this state seems similar to permutation inversion
 - The polynomial length classical witness shouldn't help much...

Our (In-place) oracle problem

- *Definitions:* with respect to $\sigma: [N^2] \rightarrow [N^2]$
 - Define $S(\sigma) = \{j: \sigma(j) \in [N]\}$
 - Call σ “Even” if $S(\sigma)$ has 2/3 even elements (and also say $S(\sigma)$ is “Even Preimage”)
 - Call σ “Odd” if $S(\sigma)$ has 2/3 odd elements (and also say $S(\sigma)$ is “Odd Preimage”)
- “Preimage checking”: Given in-place oracle access to P_σ
 - “Yes case”: σ is “Even”
 - “No case”: σ is “Odd”
- Preimage Checking is in \mathbf{QMA}^{P_σ}
 - Honest Merlin sends $\frac{1}{\sqrt{N}} \sum_{i \in [N]} |\sigma^{-1}(i)\rangle$
 - With probability $\frac{1}{2}$ Arthur measures Merlin’s state, accepts if even
 - With probability $\frac{1}{2}$ Arthur runs in-place oracle on Merlin’s state
 - Note that if Merlin is honest Arthur is left with $\frac{1}{\sqrt{N}} \sum_{i \in [N]} |i\rangle$
 - Arthur can check this!

	“Yes”	“No”
$\sigma^{-1}(1)$	8	9
$\sigma^{-1}(2)$	2	2
$\sigma^{-1}(3)$	1	1
$\sigma^{-1}(4)$	3	3
$\sigma^{-1}(5)$	6	6
$\sigma^{-1}(6)$	7	7
$\sigma^{-1}(7)$	9	8
$\sigma^{-1}(8)$	5	5
$\sigma^{-1}(9)$	4	4

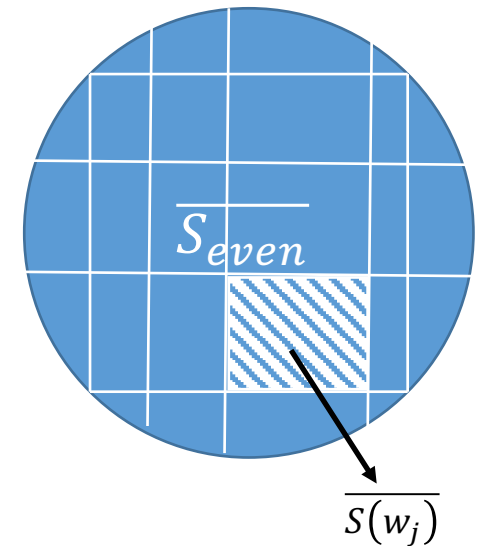
(example with $N=3$)

QCMA $\{P_\sigma\}$ lower bound: Proof overview

- *(Rough) Goal:* Find infinite set of permutations $\{P_{\sigma,n}\}_{n \geq 1}$ and unary language $L \in \text{QMA}^{\{P_{\sigma,n}\}}$ so that for any QCMA machine M , $\exists n$
 $M^{P_{\sigma,n}}(1^n) \neq L(1^n)$
- Fix an enumeration of all QCMA machines M_0, M_1, M_2, \dots
- Will find, for each M_i some “Even” σ that cannot be distinguished by M from an “Odd” σ'
- This suffices to obtain our goal

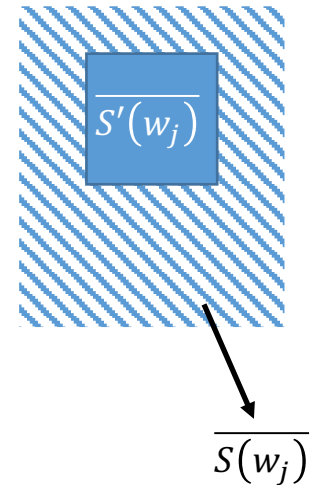
QCMA $\{P_\sigma\}$ lower bound: Proof details (1)

- Step 1/3: “Witness conditioning”
 - Enumerate all quantum verifiers M_0, M_1, M_2, \dots
 - For each fixed machine M_i :
 - There’s a mapping that takes each “Even” preimage S to the best polynomial length witness for that preimage
 - i.e., the witness that convinces M_i to accept a permutation whose preimage is S with highest probability
 - Define $\overline{S_{even}} = \{S \subset [N^2] \mid |S| = N, |S \cap \mathbb{Z}_{even}| = \frac{2}{3}N\}$
 - Define $\overline{S(w)} \subseteq \overline{S_{even}}$ to be the set of even preimages in which w is the witness that leads M_i to accept with highest probability
 - Note that the sets $\{\overline{S(w_0)}, \overline{S(w_1)}, \dots, \overline{S(w_{2^p(n)})}\}$ partition $\overline{S_{even}}$
 - Thus there must exist a w_j so that:
 - $|\overline{S(w_j)}| \geq |\overline{S_{even}}|/2^{p(n)}$
 - We will restrict ourselves to choosing an Even permutation with preimage in $\overline{S(w_j)}$
 - This effectively “hardwires” this w_j into M_i (since each even permutation now corresponds to the same witness)
 - Reduced the problem to a in-place oracle query problem
 - Will prove there exists an even σ such that $S(\sigma) \in \overline{S(w_j)}$ and still M_i requires exponential queries to decide if given in-place oracle access to σ or some “Odd” σ'



QCMA $\{P_\sigma\}$ lower bound: Proof details (2)

- Step 2/3: “Fixing lemma”:
 - *Definition:* $\bar{S} \subseteq \overline{S_{even}}$ is δ -distributed if:
 - There exists a set $S_{fixed} \subseteq [N^2]$ so that:
 1. S_{fixed} is a subset of every $S \in \bar{S}$
 2. $|S_{fixed} \cap \mathbb{Z}_{even}| \leq \frac{1}{3}N$ and $|S_{fixed} \cap \mathbb{Z}_{odd}| \leq \frac{1}{3}N$
 3. For every element $i \in [N^2]/S_{fixed}$, i appears in at most N^δ fraction of $S \in \bar{S}$
 - **Goal:** Output a set $S'(w_j) \subseteq S(w_j)$ that is β -distributed ($0 \leq \beta \leq 1$)
 - Procedure works by starting with $S(w_j)$
 - Until condition 3 above is satisfied:
 - a) Take the i' that is in more than N^δ fraction of sets and add it to S_{fixed}
 - b) Remove all sets that don't contain i'
 - Repeat steps a & b until condition 3 is satisfied
 - Note: counting argument shows that $S'(w_j)$ satisfies property 2.



QCMA $\{P_\sigma\}$ lower bound: Proof details (3)

- Step 3/3: “Query lower bound theorem for permutations whose preimage form a fixed subset system”
- *Theorem.* Suppose $\bar{S} \subseteq \overline{S_{\text{even}}}$ is δ -distributed. Then there exists an “Even” permutation σ so that $S(\sigma) \in \bar{S}$ and an “Odd” permutation so that to tell them apart with bounded probability requires $\Omega(N^{\delta/2})$ in-place queries
- Proof: new “Adversary bound for in-place oracles”
 - Adaptation of Ambainis original result for standard oracles
 - *Theorem:* Let σ be some subset of permutations acting on $[N^2]$.
 - Suppose $f: \sigma \rightarrow \{0,1\}$, and let σ_{YES} be the set of permutations that f maps to 1, and σ_{NO} be the set of permutations that f maps to 0.
 - If $\exists R \subset \sigma_{\text{YES}} \times \sigma_{\text{NO}}$ so that:
 1. For every $\sigma_x \in \sigma_{\text{YES}}$ there exists at least m different $\sigma_y \in \sigma_{\text{NO}}$ so that $(\sigma_x, \sigma_y) \in R$
 2. For every $\sigma_y \in \sigma_{\text{NO}}$ there exists at least m' different $\sigma_x \in \sigma_{\text{YES}}$ so that $(\sigma_x, \sigma_y) \in R$
 3. Let $l_{x,i}$ = number of different $\sigma_y \in \sigma_{\text{NO}}$ so that $(\sigma_x, \sigma_y) \in R$ and $\sigma_x(i) \neq \sigma_y(i)$
 4. Let $l_{y,i}$ = number of different $\sigma_x \in \sigma_{\text{YES}}$ so that $(\sigma_x, \sigma_y) \in R$ and $\sigma_x(i) \neq \sigma_y(i)$
 5. $l_{\max} = \max_{(\sigma_x, \sigma_y) \in R} l_{x,i}, l_{y,i}$

Then, given an in-place oracle P_σ any quantum algorithm that correctly evaluates f on all inputs with constant probability requires at least

$$\Omega \sqrt{\frac{mm'}{l_{\max}}} \text{ in place queries to compute } f(\sigma)$$

- We’ll use the δ -distributed property of the subset system to show an “R” relation so that the function f , which evaluates to 1 on “Even” σ so that $S(\sigma) \in \bar{S}$ and evaluates to 0 on “Odd” σ requires an exponential number of queries to compute.

A few open questions about **QMA**

- **QMA** $\not\subseteq$ **QCMA** relative to a standard oracle?
 - Can this construction be extended?
- Unrelativized separations?
 - Seems to require new insights on entanglement structure of ground states of local Hamiltonians
- **QMA** vs **QMA(2)**
 - In **QMA(2)** Arthur receives tensor product of two pure quantum states on polynomial qubits
 - **QMA** \subseteq **QMA(2)** is trivial, but is **QMA(2)** \subseteq **QMA**?
 - Closely connected to “separability testing” and “quantum de Finetti theorems”

Thanks!